Carrie R. Gaudreau

Notice of Intent to Invoke Administrative Sanctions

DATE: December 7, 2001

RESPONDENT: Carrie R. Gaudreau of Marysville, California

ALLEGATIONS: Unregistered Securities; Unregistered Broker-Dealer or Agent

ORDER: Notice of Intent to Invoke Administrative Sanctions Under the Kansas Securities Act issued to respondent for selling shares of common stock to investors that totaled \$16,000. It is further alleged that neither the stock nor the respondent were registered pursuant to the Kansas Securities Act.

Bar from association with a broker-dealer or investment adviser registered in Kansas

DATE: December 28, 2001

RESPONDENTS: Carrie R. Gaudreau of Marysville, California

ORDER: Respondent Carrie R. Gaudreau ("Gaudreau") was ordered barred by the Kansas Securities Commissioner from association with a broker-dealer or in any registration for committing securities fraud and selling unregistered securities while not being registered to sell securities.

Gaudreau was previously employed by Premier Capital Services, Inc. to six different investors that totaled \$16,000. Gaudreau failed to disclose during any of the aforementioned sales that she had been previously convicted in federal court in 1989 for felony mail fraud. Additionally, the stock for Premier Capital Services, Inc., was not registered for sale in Kansas and Gaudreau was not registered as a broker-dealer or agent for the sale of securities in Kansas. Gaudreau admitted to all allegations presented by the Commissioner.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the <u>North American Securities Administrators Association</u> website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.